

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Application of:

Harold O. Schwartz et al.

Application No. 09/930,123

Filed: August 14, 2001

For: AIR TREATMENT APPARATUS AND  
METHODS

Examiner: Not yet assigned

Date: November 26, 2001

Art Unit: 1724

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on November 26, 2001, as First Class Mail in an envelope addressed to:  
BOX MISSING PARTS, COMMISSIONER FOR PATENTS,  
WASHINGTON D.C. 20231

Michael P. Givard  
Attorney for ApplicantMISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, DC 20231

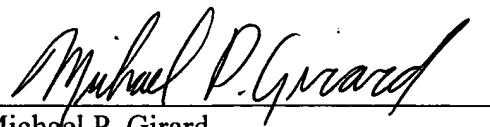
Enclosed for filing in the application referenced above are the following:

- Copy of Notice to File Missing Parts of Application--Filing Date Granted
- Basic Filing Fee of \$740.00
- Additional claim fee of \$54.00
- Combined Declarations and Powers of Attorney (3)
- Assignment (Schwartz and Hill) and Recordal Fee of \$40.00
- Assignment (Fink) and Recordal Fee of \$40.00
- Surcharge for Late Filing of Fee or Oath or Declaration
  - \$130.00 - All Others
- Information Disclosure Statement
  - Form 1449 and references cited thereon
- A check in the amount of \$1004.00 to cover the above-listed fees

- The Director is hereby authorized to charge any additional fees which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

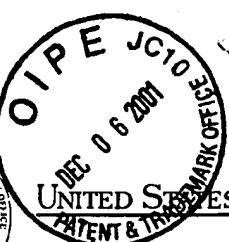
Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By   
Michael P. Girard  
Michael P. Girard  
Registration No. 38,467

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446

cc: Docketing



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,123	08/14/2001	Harold O. Schwartz	3790-60721

**CONFIRMATION NO. 9401**  
**FORMALITIES LETTER**



\*OC00000006790746\*

KLARQUIST SPARKMAN, LLP  
 One World Trade Center, Suite 1600  
 121 S. W. Salmon Street  
 Portland, OR 97204

Date Mailed: 09/25/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

12/07/2001 SMINASS1 00000067 09930123

**FILED UNDER 37 CFR 1.53(b)**

01 FC:101	740.00 OP
02 FC:103	54.00 OP
03 FC:105	130.00 OP

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$54.
  - \$54 for 3 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.**

12/13/2001 ETULU1 00000018 024550 09930123

01 FC:102 84.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE